The Pension Regulator's and Scheme Advisory Board Compliance Checklist

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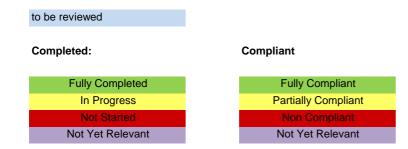
Introduction

This document outlines how the London Borough of Havering complies with The Pensions Regulator's (TPR) Code of Practice No 14 Governance and Administration of Public Service Pension Schemes (the TPR Code) in relation to the management of the London Borough of Havering Pension Fund which is part of the Local Government Pension Scheme (LGPS). It will be updated regularly by officers of the Fund and reported annually to the Pensions Committee and Pension Board.

This document highlights all the key elements of the TPR Code and evidences whether the London Borough of Havering meets these areas of best practice. The document shows when an element was last checked and whether, at that time, it was considered fully, partially or cot compliant. Where an element is partially or not compliant, it highlights any actions that have been identified to improve current practices. Where an element is not yet active, the commentary will generally still highlight where advanced progress is being made.

Those reading this document should be mindful that the TPR Code applies equally to all public service pension schemes and therefore it is generic in nature. There may be a number of elements that are more specifically stipulated within the LGPS legislation and it is not the purpose of this compliance checklist to consider that level of detail.

Frequency of review and last review date: Where a process, policy or practice is officially reviewed at a set interval, the actual interval will be shown as well as the last interval date. However, in may circumstances, processes and procedures are ongoing and part of the day to day operation of the Fund. In these circumstances, an annual check will be carried out to ensure that the ongoing process meets the TPR Code expectations and therefore the date shown will be the date that annual check was carried out and the frequency will be shown as *ongoing* (annual check).



Definitions

PSPA134 Public Service Pensions Act 2013
LGPS Local Government Pension Scheme

TPR The Pensions Regulator

TPR CODE

The Pensions Regulator's Code of Practice No 14 Governance and administration of public service pension schemes

Scheme Manager This is the London Borough of Havering
Administering Authority The LGPS specific term for Scheme Manager

LBH The London Borough of Havering
IDRP Internal Disputes Resolution Procedure
SAB The National LGPS Scheme Advisory Board

PC Pensions Committee
LPB Local Pensions Board
LPP Local Pensions Partnership
MSS Member Self Service
CMS Case Management System